



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): SCREATON, Gavin  
Robert et al. EXAMINER: Not yet known

SERIAL NO.: 10/505,420. GROUP ART UNIT: Not yet known

FILED: August 24, 2004 ATTORNEY DOCKET No.: P-7153-US

FOR: NOVEL COMPLEXES FOR INDUCING AN IMMUNE RESPONSE

Mail Stop Missing Parts  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION AND  
PETITION FOR A TWO-MONTH EXTENSION OF TIME

Sir:

In response to the Notice to File Missing Parts of Application under 37 CFR 1.53(b), mailed January 19, 2005 (a copy of which is enclosed), Applicant(s) submit herewith the following:

1.  an executed Declaration and Power of Attorney making reference to the above-identified application, and in compliance with 37 C.F.R. 1.63;
2.  Applicant(s) Claim Small Entity Status; and
3.  a Preliminary Amendment.

A response was due March 19, 2005. Applicant(s) hereby petition for a TWO-month extension of time. Therefore, a response is now due on May 19, 2005. Accordingly, this Response and Petition are being timely filed.

The Patent Office is hereby authorized to charge Deposit Account 50-3355 in the amount of \$1,135, covering the following:

**Best Available Copy**

APPLICANTS: SCREATON, Gavin Robert et al.  
 SERIAL NO.: 10/505,420  
 FILED: August 24, 2004  
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FEE CALCULATION																																			
<b>1. BASIC FILING FEE</b>																																			
<table border="1"> <thead> <tr> <th colspan="2">Filing Date BEFORE December 8, 2004</th> <th colspan="2">Filing Date ON/AFTER December 8, 2004</th> <th colspan="2"></th> </tr> <tr> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> </tr> </thead> <tbody> <tr> <td>Utility</td> <td>Large Entity Fee \$790</td> <td>Small Entity Fee \$395</td> <td>Large Entity Fee \$1000</td> <td>Small Entity Fee \$500</td> <td>\$395</td> </tr> <tr> <td>Provisional</td> <td>Large Entity Fee \$160</td> <td>Small Entity Fee \$80</td> <td>Large Entity Fee \$200</td> <td>Small Entity Fee \$100</td> <td>0</td> </tr> <tr> <td></td> <td colspan="2">Application Size Fee (over 100 pages, per 50)</td> <td>\$250</td> <td>\$125</td> <td>0</td> </tr> </tbody> </table>						Filing Date BEFORE December 8, 2004		Filing Date ON/AFTER December 8, 2004										Utility	Large Entity Fee \$790	Small Entity Fee \$395	Large Entity Fee \$1000	Small Entity Fee \$500	\$395	Provisional	Large Entity Fee \$160	Small Entity Fee \$80	Large Entity Fee \$200	Small Entity Fee \$100	0		Application Size Fee (over 100 pages, per 50)		\$250	\$125	0
Filing Date BEFORE December 8, 2004		Filing Date ON/AFTER December 8, 2004																																	
Utility	Large Entity Fee \$790	Small Entity Fee \$395	Large Entity Fee \$1000	Small Entity Fee \$500	\$395																														
Provisional	Large Entity Fee \$160	Small Entity Fee \$80	Large Entity Fee \$200	Small Entity Fee \$100	0																														
	Application Size Fee (over 100 pages, per 50)		\$250	\$125	0																														
<b>2. EXTRA CLAIM FEES</b>																																			
Total Claims	30	-20 =	10	x \$25	= 250																														
Independent Claims	5	- 3 =	2	x \$100	= 200																														
Multiple Dependent				x \$360	=																														
Large Entity Fee	Small Entity Fee	Fee Description																																	
\$50	\$25	Claims in excess of 20																																	
\$200	\$100	Independent claims in excess of 3																																	
\$380	\$180	Multiple dependent claim, if not paid																																	
<b>3. Fee for Petition for Extension of Time</b>																																			
Large Entity Fee	Small Entity Fee																																		
\$120	\$60	Extension for reply within first Month																																	
\$450	\$225	Extension for reply within second Month																																	
\$1,020	\$510	Extension for reply within third Month																																	
\$1,590	\$795	Extension for reply within fourth Month																																	
<b>4. Surcharge Fee Under 37 CFR 1.16(e) or 1.492(e)</b>																																			
Large Entity Fee	Small Entity Fee																																		
Utility	\$130	\$65	\$65																																
<b>5. Surcharge Fee Under 37 CFR 1.16(l)</b>																																			
Large Entity Fee	Small Entity Fee																																		
Provisional	\$50	\$25	0																																
<b>TOTAL (all columns)</b>					<b>1135</b>																														

If any additional fee is required, the undersigned attorney hereby authorizes the Patent Office to charge such additional fee to Deposit Account 50-3355.

Respectfully submitted,

Mark S. Cohen  
 Attorney for Applicant(s)  
 Registration No. 42,425

Dated: May 9, 2005

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05/11/2005 SJ:AJARRO 00000101 503355 10505420  
 04 FC:2252 225.00 DA

Rec'd PCT/PTO

9 MAY 2005

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/505,420	Gavin Robert Scream et al	P-7153-US
INTERNATIONAL APPLICATION NO.		
PCT/GB03/00811		
LA. FILING DATE	PRIORITY DATE	
02/25/2003	02/25/2002	
CONFIRMATION NO. 7581		
371 FORMALITIES LETTER		
*OC000000014977618*		

Date Mailed: 01/19/2005

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 08/24/2004
- Copy of the International Search Report filed on 08/24/2004
- Preliminary Amendments filed on 08/24/2004
- Information Disclosure Statements filed on 10/19/2004
- Small Entity Statement filed on 08/24/2004
- Request for Immediate Examination filed on 08/24/2004
- U.S. Basic National Fees filed on 08/24/2004
- Priority Documents filed on 08/24/2004

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$450 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

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## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$515 for a Small Entity:

- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$ 450
  - \$200 for 2 independent claims over 3.
  - \$250 for 10 total claims over 20.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice MUST be returned with the response.*

SHELBY J VIGIL

Telephone: (703) 305-3653

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/505,420	PCT/GB03/00811	P-7153-US

FORM PCT/DO/EO/905 (371 Formalities Notice)

05/11/2005 SNAJARRO 00000101 503355 10505420

01 FC:2617	65.00 DA
02 FC:2614	200.00 DA
03 FC:2615	250.00 DA